

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Docket No. 400188)

In re the Application of:)	,
DOMINGUEZ ET AL.)	At 1
Serial No.: 10/681,602)	Art Unit:
Filed: October 8, 2003		Examiner
For: DELIVERY SYSTEM USING SYSTEM	BALLOON)	
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TO: MAIL STOP: NON-FEE AMENDMENT

Commissioner for Patent

P.O. Box 1450

Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

For the examiner's convenience, a copy of the three "foreign patent documents" and the European Search Report are enclosed. Copies of the cited U.S. Patents are not enclosed because they were previously submitted to or cited by the PTO in parent application Serial No. 09/378,530, filed June 11, 2001, for which an earlier effective filing date under 35 U.S.C. §120 is claimed.

Respectfully submitted, SEYFARTH SHAW LLP

George H. Gerstman Registration No. 22,419 Attorney for Applicant

SEYFARTH SHAW LLP 55 East Monroe Street, Suite 4200 Chicago, Illinois 60603 (312) 269-8567

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Mail Stop: NON FEE AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December /7, 2003.

Registered Attorney for Applicant

Date: December / 2, 2003

PTO/SB/08A (04-03)

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Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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of 3

Complete if Known					
Application Number	10/681,602				
Filing Date	October 8, 2003				
First Named Inventor	Dominguez et al.				
Art Unit					
Examiner Name		· · · · · · · · · · · · · · · · · · ·			
Attorney Docket Number	400188				

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	-	
		^{US-} 5,464,395		D.P. Faxon, et al.	
		^{US-} 5,667,493		J. Janacek	
		^{US-} 5,776,114		J.J. Frantzen, et a	
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		^{US-} 4,790,331		Y. Okada, et al.	
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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	6		
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	WIWI-DD-11111			<u> </u>		
		EP 0664 104 B1		Micro Micro Therapeutics	, Inc.			
		WO 02/45597 A2		Scimed Life Systems, Inc.				
		DE 295 06 307		Schmitz-Rode et al				

Examiner	Date	
Signature	Considered	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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10/681,602

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Dominguez et al.

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Sheet 2

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Art Unit
Examiner Name

Attorney Docket Number 400188

Application Number

First Named Inventor

U. S. PATENT DOCUMENTS Examiner Document Number Publication Date Name of Patentee or Pages, Columns, Lines, Where Cite MM-DD-YYYY Applicant of Cited Document Initials* No.1 Relevant Passages or Relevant Figures Appear Number-Kind Code² (F known) ^{US-} 5,885,238 J.H. Stevens, et al. ^{US-} 6,126,649 R.A. VanTassel, et al. US- 6,179,857 R. Diaz. et al. ^{US-} 6,183,491 R. Lulo US- 5,921,958 Thomas V. Ressemann, ^{US-} 5,810,787 David G. Quinn US- 5.957.940 Howard Tanner, etal. US- 6,440,097 Kupiecki, David US- 5.755.687 Donion, Brian S. US- 6,527,790 Chien et al. US- 5,402,790 Jang et al. US- 5,269,793 Simpson, John B. ^{US-} 5,409,458 Kheirkhahan et al. US- 2002/0029052 Evans et al. US- 6.146.373 Cragg et al. US- 6,511,488 Cragg et al. US- 5.921.958 Ressemann et al. ^{US-} 5,795,331 Cragg et al.

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages			
		Country Code ³ Number ⁴ Kind Code ⁵ (<i>If known</i>)	MM-DD-YYYY		Or Relevant Figures Appear	⊤ ⁶		

Examiner	Date	
Signature	Considered	
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RAUPHINE for form 1449/PTO		Complete if Known			
RADE	16 10/10/11/1449/1110			Applicati n Number	10/681,602
INF	ORMATION	DIS	CLOSURE	Filing Date	10/8/2003
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	DOMINGUEZ ET AL.
				Art Unit	
	(Use as many she	ets as n	ecessary)	Examiner Name	
Sheet	3	of	3	Attorney Docket Number	400188

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title the item (book, magazine, journal, serial, symposium, ca number(s), publisher, city and/or cour	talog, etc.), date,	page(s), volume-issue	T ²	
		EPO Search Report EP 02253790.6 dated 9/19/02				
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